**Validation Report**

**(Project name)**

|  |  |
| --- | --- |
| **VALIDATION REPORT**  **COMPLIANCE ASSESSMENT INFORMATION** | |
| Fill out this form following the instructions provided in each section. Follow the hierarchical structure of titles up to the third level and replicate the format of this table as many times as necessary. | |
| **Basic Information** | |
| Name of mitigation initiative |  |
| Mitigation Initiative ID |  |
| Version of this report |  |
| Date of completion of this form | dd/mm/yyyy |
| PDD Version No. |  |
| Date of publication of the revised PDD | dd/mm/yyyy |
| Sector and activity of the mitigation initiative |  |
| Name of the proponent of the mitigation initiative |  |
| Country of mitigation initiative |  |
| Start date of the mitigation initiative | dd/mm/yyyy |
| Crediting period applied | dd/mm/yyyy - dd/mm/yyyy |
| Methodology used (Source and version) |  |
| Annual GHG reductions or removals | Click or tap here to enter text. tCO2e/Year |
| Total GHG reductions or removals during the period | Click or tap here to enter text. tCO2e |
| Auditor's name |  |
| Name of technical reviewer |  |

Content

[SECTION A. Mitigation Initiative Information 4](#_Toc196907345)

[A.1. Description of the mitigation initiative 4](#_Toc196907346)

[secTIOn B. Validation and Verification Body Information 4](#_Toc196907347)

[B.1. Description of the evaluation team 4](#_Toc196907348)

[B.2. VVB review and approval mechanisms 5](#_Toc196907349)

[secTIOn C. Means of validation applied by the VVB 5](#_Toc196907350)

[C.1. Documentary review 5](#_Toc196907351)

[C.2. Visit to the initiative's site 6](#_Toc196907352)

[C.3. Interviews conducted 6](#_Toc196907353)

[C.4. Applied sampling 6](#_Toc196907354)

[secTIOn D. Treatment of Findings 7](#_Toc196907355)

[D.1. Type of mitigation initiative 7](#_Toc196907356)

[D.2. Description of the mitigation initiative 7](#_Toc196907357)

[D.3. Application of methodologies 8](#_Toc196907358)

[D.4. Description of boundaries, sources and GHG 8](#_Toc196907359)

[D.5. Baseline scenario 9](#_Toc196907360)

[D.6. Demonstration of additionality 9](#_Toc196907361)

[D.7. Estimation of GHG reductions or removals 9](#_Toc196907362)

[D.8. Monitoring plan 10](#_Toc196907363)

[D.9. Start date and crediting period 10](#_Toc196907364)

[D.10. Environmental impacts 10](#_Toc196907365)

[D.11. Environmental Impact Assessment and Management 11](#_Toc196907366)

[D.12. Adaptation to climate change 11](#_Toc196907367)

[D.13. Social aspects 11](#_Toc196907368)

[D.14. Contribution to sustainable development 12](#_Toc196907369)

[D.15. Information management, authorizations and approvals 12](#_Toc196907370)

[secTIOn E. Quality Control 13](#_Toc196907371)

[SECTION F. VALIDATION STATEMENT 13](#_Toc196907372)

# **SECTION A.** Mitigation Initiative Information

### Description of the mitigation initiative

>>

**Instructions (delete this box when filling out the form):**

Provide the objective of the mitigation initiative and a general description of the validated emission reduction or removal activity, including: a brief indication of the location of the mitigation initiative, the technology or measures employed, the applicable limits, the baseline scenario, the estimated annual (average) and total GHG emission reductions or removals during the crediting period, a brief description of how the mitigation initiative contributes to sustainable development, the indication of the developer of the mitigation initiative, and an account of the main milestones achieved by the mitigation initiative.

## Validation and Verification Body Information

### Description of the evaluation team

>>

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **No.** | **Name of evaluator** | **Title** | **Position in the validation** | **Role in validation** | | | | | **Observations** |
| **Documentary review** | **On-site visit** | **Interviews** | **Findings** | **Report preparation** |
| 1. |  |  |  |  |  |  |  |  |  |
| 2. |  |  |  |  |  |  |  |  |  |
| 3. |  |  |  |  |  |  |  |  |  |
| 4. |  |  |  |  |  |  |  |  |  |
| .. |  |  |  |  |  |  |  |  |  |

**Instructions (delete this box when filling out the form):**

Provide the exact reference of each member of the assessment team that has participated in the validation. Indicate for each one the full name of the person, the professional title, the position held in the validation (lead auditor, trainee auditor, technical reviewer, technical expert, financial expert, among others), the role played, and any other information considered relevant.

### VVB review and approval mechanisms

>>

**Instructions (delete this box when filling out the form):**

Provide a description of the different methods available to VVB to assess and approve the validation of the mitigation initiative. This may include quality control and quality assurance processes and technical review processes, among others.

## Means of validation applied by the VVB

### Documentary review

>>

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **No.** | **Document** | **Author** | **Origin / source of the document** | **Connection to the mitigation initiative** | **Document use in validation** |
| 1. |  |  |  |  |  |
| 2. |  |  |  |  |  |
| 3. |  |  |  |  |  |
| … |  |  |  |  |  |

**Instructions (delete this box when filling out the form):**

All documents that have been reviewed or consulted during the validation of the mitigation initiative should be listed. When necessary, a more extensive list can be attached as an annex to this report.

### Visit to the initiative's site

>>

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Purpose of the visit:** | | | | |
| **No.** | **Activities performed** | **Location** | **Date**  (dd/mm/yyyy) | **Names of participants** |
| 1. |  |  |  |  |
| 2. |  |  |  |  |
| 3. |  |  |  |  |
| … |  |  |  |  |

**Instructions (delete this box when filling out the form):**

The information from any on-site visit to the mitigation initiative that has been carried out in the framework of the validation must be listed, describing the means used and justifying why they are sufficient for the purposes of the validation. Such justification is mandatory when no on-site visit is conducted in accordance with the guidelines established by the COLCX Program.

### Interviews conducted

>>

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **No.** | **Interviewee** | | | **Means of interview** | **Date of interview** (dd/mm/yyyy) | **Interviewer** |
| **Name** | **Last name** | **Role in the initiative** |
| 1. |  |  |  |  |  |  |
| 2. |  |  |  |  |  |  |
| 3. |  |  |  |  |  |  |
| … |  |  |  |  |  |  |

**Instructions (delete this box when filling out the form):**

The pertinent information from each interview that has been carried out in the framework of the validation should be listed. This includes in-person interviews, teleconferences and video calls, among others).

### Applied sampling

>>

**Instructions (delete this box when filling out the form):**

Indicate whether sampling has been used as a means of validation, describing the methods applied including a description of how the sample size was determined and field verification was carried out.

## Treatment of Findings

### Type of mitigation initiative

>>

|  |  |
| --- | --- |
| **Means of validation** |  |
| **Findings** |  |
| **Conclusion** |  |

*Repeat the table as many times as necessary*

**Instructions (delete this box when filling out the form):**

It should be explained if it corresponds to the type of initiative established in accordance with the guidelines of the COLCX Program.

### Description of the mitigation initiative

>>

|  |  |
| --- | --- |
| **Means of validation** |  |
| **Findings** |  |
| **Conclusion** |  |

*Repeat the table as many times as necessary*

**Instructions (delete this box when filling out the form):**

Explain how the description of the proposed mitigation initiative was assessed in accordance with the applicable validation requirements under the COLCX Program. Also indicate how the contribution to sustainable development and eligibility criteria were assessed (for AFOLU sector initiatives indicate how land eligibility was assessed and the approach to address non-permanence), among others.

### Application of methodologies

>>

|  |  |
| --- | --- |
| **Means of validation** |  |
| **Findings** |  |
| **Conclusion** |  |

*Repeat the table as many times as necessary*

**Instructions (delete this box when filling out the form):**

Explain how the application of methodologies and other methodological regulatory documents related to the mitigation initiative was assessed in accordance with the validation requirements of the COLCX Program. It is important to confirm that the selected versions of the methodologies and other regulatory documents are validated at the time of submission of the initiative for registration in the COLCX Program.

It is also necessary to:

* Indicate if there is or has been any deviation from the selected methodology or methodological tools applied. If so, confirm the date of approval and reference number.
* Indicate if there is or has been any clarification on the applicability of the methodology or methodological tools applied. If yes, confirm the date of approval and the reference number.

### Description of boundaries, sources and GHG

>>

|  |  |
| --- | --- |
| **Means of validation** |  |
| **Findings** |  |
| **Conclusion** |  |

*Repeat the table as many times as necessary*

**Instructions (delete this box when filling out the form):**

Explain how the boundaries of the mitigation initiative, the sources and GHG selected were evaluated in accordance with the requirements defined in the COLCX Program.

For AFOLU sector initiatives, it should also explain how the selection of carbon reservoirs was evaluated in accordance with the guidelines defined for afforestation and reforestation activities by the COLCX Program.

### Baseline scenario

>>

|  |  |
| --- | --- |
| **Means of validation** |  |
| **Findings** |  |
| **Conclusion** |  |

*Repeat the table as many times as necessary*

**Instructions (delete this box when filling out the form):**

Explain how the baseline scenario identified for the proposed mitigation initiative was evaluated in accordance with the applicable validation requirements provided by the COLCX Program.

### Demonstration of additionality

>>

|  |  |
| --- | --- |
| **Means of validation** |  |
| **Findings** |  |
| **Conclusion** |  |

*Repeat the table as many times as necessary*

**Instructions (delete this box when filling out the form):**

Explain how additionality was assessed in accordance with the requirements for the demonstration of additionality defined by the COLCX standard, clearly supporting how the proposed mitigation initiative meets the additionality criteria defined by the methodology, the methodology tool and the COLCX Program Guide.

### Estimation of GHG reductions or removals

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|  |  |
| --- | --- |
| **Means of validation** |  |
| **Findings** |  |
| **Conclusion** |  |

*Repeat the table as many times as necessary*

**Instructions (delete this box when filling out the form):**

Explain how the performance of the calculation was evaluated, the equations and parameters used to calculate GHG emission reductions or removals in accordance with the applicable methodological requirements.

### Monitoring plan

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|  |  |
| --- | --- |
| **Means of validation** |  |
| **Findings** |  |
| **Conclusion** |  |

*Repeat the table as many times as necessary*

**Instructions (delete this box when filling out the form):**

Explain how the description of the monitoring plan was evaluated and how it is appropriate according to the requirements defined by the COLCX Program. For AFOLU sector initiatives should include an explanation of how the timing of management activities, including harvest cycles and their verification, was evaluated.

### Start date and crediting period

>>

|  |  |
| --- | --- |
| **Means of validation** |  |
| **Findings** |  |
| **Conclusion** |  |

*Repeat the table as many times as necessary*

**Instructions (delete this box when filling out the form):**

Explain how the start date, expected operational life and proposed crediting period were evaluated in accordance with COLCX Program requirements.

### Environmental impacts

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|  |  |
| --- | --- |
| **Means of validation** |  |
| **Findings** |  |
| **Conclusion** |  |

*Repetir la tabla cuantas veces sea necesario*

**Instructions (delete this box when filling out the form):**

Explain how the environmental and socioeconomic impacts (where applicable) that were analyzed were assessed and managed in accordance with the requirements of the COLCX Program.

### Environmental Impact Assessment and Management

>>

**Instructions (delete this box when filling out the form):**

A description of the verification of the environmental impact mitigation methods granted by the proponent shall be provided. Reference documentation shall be provided in accordance with the applicable guidelines of the country's requirements.

### Adaptation to climate change

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**Instructions (delete this box when filling out the form):**

Provide a description of the verification of the mechanisms to adapt to climate change in accordance with the policies and strategies in place in the area of influence of the project. Verify how the results of the implementation of the activity reduce risks from climate crisis and improve resilience in the area. Finally, evaluate means, indicators and frequencies to monitor and evaluate the results of the implementation of these actions.

### Social aspects

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|  |  |
| --- | --- |
| **Means of validation** |  |
| **Findings** |  |
| **Conclusion** |  |

*Repeat the table as many times as necessary*

**Instructions (delete this box when filling out the form):**

Explain how the consultation process with local stakeholders was evaluated, including the treatment of comments received, in accordance with the requirements of the COLCX Program.

### Contribution to sustainable development

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|  |  |
| --- | --- |
| **Means of validation** |  |
| **Findings** |  |
| **Conclusion** |  |

*Repeat the table as many times as necessary*

**Instructions (delete this box when filling out the form):**

Explain how the contribution of the proposed initiative to sustainable development was evaluated, clearly indicating which goals or objectives it contributes to, in accordance with the COLCX Program guidelines.

### Information management, authorizations and approvals

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|  |  |
| --- | --- |
| **Means of validation** |  |
| **Findings** |  |
| **Conclusion** |  |

*Repeat the table as many times as necessary*

**Instructions (delete this box when filling out the form):**

Explain how the approvals and approvals granted to the mitigation initiative were evaluated in accordance with applicable regulatory and statutory requirements, and in accordance with the guidelines of the COLCX Program. In addition, indicate the methods used to manage the project information, if they ensure quality of results and suitability for evaluation.

## Quality Control

>>

**Instructions (delete this box when filling out the form):**

Describe the mechanisms and means employed by the VVB to ensure the quality of the validation activities carried out on the proposed mitigation initiative.

# SECTION F. VALIDATION STATEMENT

>>

**Instructions (delete this box when filling out the form):**

The validation statement of the audit team must be presented, in accordance with the criteria and requirements of the COLCX Program.

1. Contact Information of the Evaluator

>>

|  |  |
| --- | --- |
| Name of evaluator: |  |
| Country and city: |  |
| Address: |  |
| Phone: |  |
| Cell phone: |  |
| E-mail: |  |
| Website: |  |
| Name of contact person: |  |
| Position: |  |

**Instructions (delete this box when filling out the form):**

The table should be completed with the information of the person responsible for the evaluation of the mitigation initiative.

Information on the competence of the audit team

>>

**Instructions (delete this box when filling out the form):**

Information can be provided to support the competence required by the assessment team related to the validation of the mitigation initiative.

Report on findings

>>

Request for Clarification (RC)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **SA-No.** |  | **PMR Section** |  | **Date:** dd/mm/yyyy |
| **Description of the RC** | | | | |
|  | | | | |
| **Proposer's Response** | | | | **Date:** dd/mm/ yyyy |
|  | | | | |
| **Supporting documents provided** | | | | |
|  | | | | |
| **Concept of the VVB** | | | | **Date:** dd/mm/ yyyy |
|  | | | | |

Corrective Action Request (CAR)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **SAC-No.** |  | **PMR Section** |  | **Date:** dd/mm/ yyyy |
| **Description of the CAR** | | | | |
|  | | | | |
| **Proposer's Response** | | | | **Date:** dd/mm/ yyyy |
|  | | | | |
| **Supporting documents provided** | | | | |
|  | | | | |
| **Concept of the VVB** | | | | **Date:** dd/mm/ yyyy |
|  | | | | |

Future Action Request (FAR)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **SAF-No.** |  | **PMR Section** |  | **Date:** dd/mm/ yyyy |
| **Description of the FAR** | | | | |
|  | | | | |
| **Proposer's Response** | | | | **Date:** dd/mm/ yyyy |
|  | | | | |
| **Supporting documents provided** | | | | |
|  | | | | |
| **Concept of the VVB** | | | | **Date:** dd/mm/yyyy |
|  | | | | |

**Instructions (delete this box when filling out the form):**

Information must be provided to support the treatment of each of the findings resulting from the verification.

*(Signature of VVB representative)*

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name of VVB representative:

Position of the VVB representative:

Date of signature:

**---**

| **Control of changes to the Validation Report** | | |
| --- | --- | --- |
| ***Version*** | ***Date*** | ***Description*** |
| 1. 1.0 | 1. dd/mm/yyyy | Initial version of the validation report |
|  |  |  |
| **History of the COLCX form** | | |
| 1. 1.0 | 1. 13/07/2023 | Initial version |
| 1. 2.0 | 1. 13/01/2024 | 1. Adjustment to version 1.0 2. Adjustments in formatting, improving wording and presentation. 3. Update to D10 to D13 on environmental impacts. |
|  | | |